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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER CN 030022

| COI   | NCERNING A SUBMISSION  | U.S. 47 10 A 2411 50 4 6 37 CFR 1.5)   |                                       |  |  |  |  |  |
|---|--|--|---------------------------------------|--|--|--|--|--|
| PCT/IB  | ATIONAL APPLICATION NO.<br>2004/051131   | INTERNATIONAL FILING DATE<br>July 6, 2004  | PRIORITY DATE CLAIMED July 17, 2003   |  |  |  |  |  |
|   | TITLE OF INVENTION METHOD AND APPARATUS FOR OPTICAL DISC RECORDING   |  |                                       |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Bei WANG; Wenying YOU; Gongming WEI   |  |  |                                       |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |  |  |                                       |  |  |  |  |  |
| 1. 🗸  |  |  |                                       |  |  |  |  |  |
| 2.  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  |  |                                       |  |  |  |  |  |
| з. 🗌  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.                  |  |                                       |  |  |  |  |  |
| 4.  | The US has been elected (Article 31).  |  |                                       |  |  |  |  |  |
| 5. 🗸  | A copy of the International Application as filed (35 U.S.C. 371(c)(2))   |  |                                       |  |  |  |  |  |
|   | a. is attached hereto (required  | only if not communicated by the Internatio   | nal Bureau).                          |  |  |  |  |  |
|   | b.   | the International Bureau.  |                                       |  |  |  |  |  |
|   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).  |  |                                       |  |  |  |  |  |
| 6. 📖  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).   |  |                                       |  |  |  |  |  |
|   | a. is attached hereto.   |  | :                                     |  |  |  |  |  |
|   | b. has been previously submit  | tted under 35 U.S.C. 154(d)(4).  |                                       |  |  |  |  |  |
| <sub>7.</sub> ✓   | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |  |                                       |  |  |  |  |  |
|   | a. are attached hereto (requir   | red only if not communicated by the Interna  | ntional Bureau).                      |  |  |  |  |  |
|   | b. have been communicated  | by the International Bureau.   |                                       |  |  |  |  |  |
|   | c. have not been made; how   | ever, the time limit for making such amendr  | nents has NOT expired.                |  |  |  |  |  |
|   | d. Mave not been made and v  | vill not be made.  |                                       |  |  |  |  |  |
| 8.  | An English language translation of th  | e amendments to the claims under PCT Ar  | ticle 19 (35 U.S.C. 371(c)(3)).       |  |  |  |  |  |
| 9. 🗹  | An oath or declaration of the inventor   | (s) (35 U.S.C. 371(c)(4)).   |                                       |  |  |  |  |  |
| 10  | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).   | e annexes of the International Preliminary E   | examination Report under PCT          |  |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included:   |  |  |                                       |  |  |  |  |  |
| 11.   | An Information Disclosure Statement  | under 37 CFR 1.97 and 1.98.  |                                       |  |  |  |  |  |
| 12. 🗸   | An assignment document for recording   | g. A separate cover sheet in compliance w  | ith 37 CFR 3.28 and 3.31 is included. |  |  |  |  |  |
| 13. 🗸   | A preliminary amendment.   |  |                                       |  |  |  |  |  |
| 14.   | An Application Data Sheet under 37 (   | OFR 1.76.  |                                       |  |  |  |  |  |
| 15.   | A substitute specification.  |  |                                       |  |  |  |  |  |
| 16. 🔽   | A power of attorney and/or change of   | address letter.  | l                                     |  |  |  |  |  |
| 17.   | A computer-readable form of the sequ   | uence listing in accordance with PCT Rule 1  | 13ter.2 and 37 CFR 1.821- 1.825.      |  |  |  |  |  |
| 18.   | A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |  |                                       |  |  |  |  |  |
| 19. 🔲   | A second copy of the English languag   | re translation of the international application  | under 35 U.S.C. 154(d)(4).            |  |  |  |  |  |
| 16.   | A substitute specification.  A power of attorney and/or change of A computer-readable form of the sequ A second copy of the published Intern A second copy of the English language | address letter.  uence listing in accordance with PCT Rule 1 national Application under 35 U.S.C. 154(d) ne translation of the international application | (4).                                  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IAP15 Rec'd PCT/PTO 12 JAN 2006

PTO-1390 (Rev. 02-2005)
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| U.S. APPLICAT  | ION NO. (if knowr   | 1, see 37 CFR 1.5<br>4540 | international ar<br>PCT/IB2004/051131                | PPLICATION NO. | ATTORNEY'S DO<br>CN 030022      | CKET NUMBER |  |
|--|---|---------------------------|--|----------------|---------------------------------|-------------|--|
| The fold   | owing fees have b   | CALCULATIONS              | PTO USE ONLY   |                |                                 |             |  |
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|  | TOTAL OF 21, 22   | \$ 900.00                 |  |                |                                 |             |  |
| sequence lis   | e for specification<br>sting or computer<br>250 for each addit              |                           |  |                |                                 |             |  |
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| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.               |   |                           |  |                |                                 |             |  |
|  | RESPONDENCE   |                           | Frank A  | legn (regs     | 116) Far                        |             |  |
| Co   | rnorate Pater   |                           | <del></del>  |                |                                 |             |  |
| Corporate Patent Counsel  Philips Electronics North America Corporation  Michael E. Belk   |   |                           |  |                |                                 |             |  |
|  | ips Electronics Nort<br>). Box 3001   |                           |  |                |                                 |             |  |
|  |   | 211500000                 |  |                |                                 |             |  |
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## IAP15 Rec'd PCT/PTO 12 JAN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

WANG et al.

CN 030022

Serial No.

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Ex.

METHOD AND APPARATUS FOR OPTICAL DISC RECORDING

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